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100-78163-24

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# FEDERAL BUREAU OF INVESTIGATION

Form No. 1

THIS CASE ORIGINATED AT

WASHINGTON, D. C.

BY FILE NO. 100-181467 CVD

REPORT MADE AT <b>NEW YORK, N. Y.</b>	DATE WHEN MADE <b>12/18/42</b>	PERIOD FOR WHICH MADE <b>10/16, 23, 24; 11/10/41</b>	REPORT MADE BY <b>R. E. WILSON</b>
TITLE <b>RUSSIAN ESPIONAGE ACTIVITIES</b>			CHARACTER OF CASE <b>ESPIONAGE (R)</b>

**SYNOPSIS OF FACTS:**

~~CONFIDENTIAL~~

ALEXANDER COLEBROOK, alias RAY FOREST, born St. Petersburg, Russia; last permanent residence Amsterdam, Holland, arrived New York on U.S. Excelsior 2/3/40; admitted as temporary visitor; application for extension of stay denied and ordered to depart country by 11/3/40; filed application for reconsideration of decision. Subject presently residing with wife at 370 Columbus Avenue, NYC. Subject associated with ALBERT J. MEYER, ANNA JOSEPHINA M. ELISKER and PETER ROLF VAN WIJNEN, all aliens from Netherlands; engaged in development and exploitation of patented cinematographic apparatus. JOHN, EMORY & H. E. ROSENDALE, aliens from Netherlands, organized Home & Abroad Trading Company. Mail cover placed on subject.

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APPROVED AND FORWARDED:	SPECIAL AGENT IN CHARGE	DO NOT WRITE IN THESE SPACES	
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3 - Bureau 2 - Washington Field 2 - New York		100-2-60-80	

100-15146

REFERENCE:

Report of Special Agent W. A. Stigler, Washington, D. C. dated September 16, 1941.

DETAILS:

AT NEW YORK, NEW YORK:

H. E. COOLIDGE, 241 West 72nd Street, rental agent for WOOD BOLSON COMPANY, advised that RAY FOREST occupies Apartment 4J located at 370 COLUMBUS Avenue, New York City, for which he pays \$48 per month. He advised that Mr. FOREST moved into this apartment September 15, 1940. He had no knowledge of subject's previous address and said that subject is married and has no children. Mr. COOLIDGE related that FOREST seemed to be having financial difficulty at the present time, and at one time was in arrears in his rent for a period of three months, which resulted in their having several conferences. He stated subject told him that his money was tied up by the Government and he had very little ready cash at the present time. He related that FOREST told him he was an employee of KRYGER LABORATORIES, Inc., Chappaqua, New York, and was with a Sterial Corporation, 1270 6th Avenue, which company, he explained to Mr. COOLIDGE, was engaged in manufacturing and selling photographic equipment.

Mr. COOLIDGE informed that on July 31, and August 7, 1941, subject wrote him from Washington on Willard Hotel stationery in regard to his arrears in rent, and advised he was in Washington at the time seeing about getting his financial condition straightened out. Mr. COOLIDGE advised that shortly thereafter the subject paid up his rent.

The following information was obtained from Confidential Informant T-1 with reference to the HOME & ABROAD TRADING COMPANY: This corporation is listed as being importers and exporters with offices located at 1002-10 Rockefeller Plaza, which space is shared with associate companies of the tenth floor of the Eastern Airline Building. The report set out that this is a New York Corporation chartered January 22, 1941. On February 12, 1941, JOHN ROSENDALE had indicated that he, his father Henry, and his brother Julian are principals in this concern; but he declined to list the officers and directors stating that operation had not yet commenced. The report further stated that JOHN J. ROSENDALE and his brother JULIAN are in their thirties, married, natives of Holland, and have resided for about fifteen years in Lille, France. The elder ROSENDALE is naturalized, married, and also a native of Holland, who has been the active head of an established

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enterprise in the cotton waste processing line with affiliates in various countries. The concern, J. J. ROSENDALL, in Holland is under active management of two cousins at Lille, France.

HENRY ROSENDALL is stated to have been the Consul for the Netherlands and to have been assisted by his sons. JULIAN came to the United States first in July, 1940, and his father and brother followed in August, 1940.

The report reflects J. J. ROSENDALL, INC., deals in cotton waste and has an office at the above-mentioned address. A third company, INTER TRADE TOBACCO COMPANY, is stated to be a personal holding of JULIAN ROSENDALL.

On February 12, 1941, JOHN ROSENDALL further stated he and his family set up in the import and export line under this name and will probably deal principally with Dutch possessions. The firm account is with the Chase National Bank, Main Office; the personal account of John ROSENDALL is with the Guaranty Trust Company.

Confidential Informant T-1 furnished the following information concerning the STERIAL COMPANY, 21 Fifth Avenue, as of July 8, 1941: This corporation was formed under the New York laws June 6, 1940, with capital stock of 150 shares, no par value. A complete list of the names of officers was not available, but PETER R. VAN DUINEN is Vice President.

This corporation was formerly located in Room 2207 at 1270 6th Avenue. The office was discontinued about June 15, 1941, and was reported subsequently to have been moved to the apartment residence of PETER R. VAN DUINEN, 21 Fifth Avenue.

The records of Confidential Informant T-1 further reflect efforts to interview PETER R. VAN DUINEN on July 2, 1941, were not successful due to his absence; and on that date his wife, who was seen at the apartment, declined all financial information concerning the subjects and would not discuss operation details other than to say that the company had discontinued operation at 1270 6th Avenue during the summer months, as such months are generally slow for business. The report reflected that information was at hand that the STERIAL COMPANY, INCORPORATED, was engaged in the general photographic business. The bank of the firm was not learned.

Confidential Informant T-1 made available a report dated July 1, 1941, on KEYSER LABORATORIES, INC., New York City, 1270 6th Avenue, which reflects that KEYSER LABORATORIES INC. was chartered under New York laws December 3, 1940, with an authorized capital of 200 shares, no par value. The object of the corporation was given as "sound pictures, etc." Incorporators were given as RUTH CARCIONE, ANN LEITMAN and DOROTHY GREENBLATT, all of 10 East 40th Street, New York City.

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The attorneys filing the papers were given as BARRON, RICE AND ROCKMORE of this same address. The report further reflected that the investigation disclosed that the KEYSER LABORATORIES for a time occupied space in room 2407 at 1270 6th Avenue, which is the RKO Building, vacated about June, 1941, without leaving a forwarding address.

The files of the New York Office contained no information in regard to RAY FOREST, A. KEYSER, PETER R. VAN DUINEN, JOHN, HENRY, or JULIAN ROSENDALE, THE HOME-ABROAD TRADING COMPANY, THE INTER TRADE TOBACCO COMPANY, or KEYSER LABORATORIES.

Miss Sharra, file supervisor of the Credit Bureau of Greater New York, advised there was no record of RAY FOREST or the HOME-ABROAD TRADING CORPORATION.

The files furnished by Howard Jackson of the Correspondence Section of the Bureau of Immigration and Naturalization reflected RAY FOREST is an alias used by ALEXANDER GOLDBERG. The Certificate of Entry reflected ALEXANDER GOLDBERG, a first-class passenger was admitted to the port of New York on February 3, 1940, on board the S. S. Excalibur, which had sailed from Marseilles, France. He gave as his occupation, company director; and stated that he was a citizen of no country, and was born at St. Petersburg, Russia. The record reflected he had visa PV-405 issued at Amsterdam, Holland, January 5, 1940; that his last permanent residence was Amsterdam, Holland; the name of the nearest relative in the foreign country from which he came was his father, LEO GOLDBERG, Amsterdam, Holland; his destination was New York; he had \$300.00 cash in his possession; he had not entered the United States previously, and was admitted on a temporary visit for a six-months' stay.

His description as set out in the Manifest is as follows:

Name	ALEXANDER GOLDBERG
	alias RAY FOREST
Height	5'9"
Hair	dark
Eyes	brown
Complexion	sallow
Age	33
Born	St. Petersburg, Russia
Citizenship	Unknown
Marital status	married
Race	Hebrew

The record of the Bureau of Immigration and Naturalization reflected that on July, 1940, Alexander Goldberg, known as RAY FOREST, filed an application to extend his time of stay. The application reflected that he was engaged

at the time in the promotion of patents and inventions; and that since his entry into the United States he has married and is presently living at 370 Columbus Avenue. He stated he owed allegiance to no country, possesses passport 18209 issued by the Netherlands on December 30, 1939, at Haarlem, Netherlands. He stated circumstances required his further presence in the United States; he having come to this country for the purpose of forming companies for the exploitation of various patents for stereoscope cinematographs; and the manufacture, distribution and sale of the products of which these patents consist.

As to his financial condition, he stated that he had \$5000 in cash in the bank and \$30,000 invested in two companies engaged in stereoscoping and cinematography. He gave as his business address the STERIAL COMPANY, INC., Room 2910-10 East 40th Street, New York City. He stated at that time he had no monthly income and would not have any until the business organization had been completed. He stated he had \$1000 in cash in his possession. He asked for a six-months' extension relating he was in the midst of negotiations involving the business set forth which negotiations would not be completed for some time and that the completion of the association would result in the development and creation of a new business in this country which would benefit the community.

The files reflected that after the filing of the application for an extension of time, the subject was interviewed by V. J. VALJAVEC, Immigration Inspector, who rendered a report on August 22, 1940, setting out the following: The subject is a native of Russia, Hebrew by race, is a statistician; resident of Holland for the last six years, and in Amsterdam was one of the directors of the CINEPHONE COLOR FILM PRODUCTION COMPANY and also of the INTERNATIONAL STERIAL COMPANY, which concerns engaged in exporting patents in connection with motion picture apparatus; and since his arrival in this country he has organized the STERIAL COMPANY, INC., with offices at 1270 6th Avenue, telephone Circle 6-6549, which corporation is incorporated under New York laws with a capital of \$550,000, and from the profits of the concern the subject gets 10%.

The report reflected the subject had stated this organization was in possession of seven patents and has applied for three new patents to the U. S. Patent Office; the numbers of the applications being #349189, #345632 and #349190. He stated the inventor of the motion picture improvements is ALBERT J. KEYSER, who resides at Hamilton Drive, Chappaqua, N. Y., where his laboratories are located, and who allegedly pays the subject \$400 per month for his services as a matter of subsidy.

The report further reflected that on May 8, 1940, the applicant married ALBERTA MARIA LUIKS, of the East Indies, who came to this country in April, 1940, on the S. S. Exeter, and was admitted as a temporary visitor for a period of six months. He stated in his interview, the reason for his desire to remain in the United States is the pending patents; and due to the occupation of the Netherlands by the German Reich and for racial reasons, he could not return to the Netherlands, and therefore is applying for an extension of temporary admission. He related at the time of the interview he had \$1000 in cash deposited in the Chase National Bank, Rockefeller Branch, New York City.

The Immigration and Naturalization records reflected that subsequent to the above interview, the subject and his wife reported at the request of the Department of Justice and were again interviewed by the Immigration authorities. A copy of the record of this interview was obtained and set out as follows:

"99803/446

Ellis Island, N. Y. H.  
December 9, 1940

District Director,  
Ellis Island, N. Y. H.

RE: ALEXANDER GOLDBERG (RAY FOREST) and wife, ALBERTA  
LUIKS now ALBERTA MARIA LUIKS GOLDBERG (FOREST)

The above-named aliens appeared at the Law Division, Ellis Island, on December 9, 1940, accompanied by their attorney, Gaspare M. Cusumano, of 44 Whitehall Street, New York City, (Bowling Green 9-5656). The male alien, after being duly sworn and advised of the penalty for perjury, testified in English before Inspector J. Auerbach as follows:

INSPECTOR AUERBACH TO ALIEN:

Q What is your full correct name?

A Alexander Goldberg, known as Ray Forest.

Q Are you the Alexander Goldberg who last arrived in the United States February 3, 1940, ex SS Excalibur at New York, and who was at that time admitted into the United States for a temporary period of six months?

A Yes.

Q. Contents of file indicate that an application for extension, which was filed by you July 19, 1940, was denied by this Service and that you were granted until November 3, 1940, to depart from the United States. Is this correct?

A. Yes.

Q. Why have you not left the United States?

A. Because my business interests require my staying here and in order to procure a lawful extension of my stay I consulted my present attorney, Mr. Cusumano, and he made application for reconsideration of the Department's decision.

Q. Do I understand correctly that you married in the United States subsequently to your arrival here?

A. Yes. (Exhibits certificate of marriage #37936, duly authenticated, certifying to marriage of Alexander Goldberg, known as Ray Forest, and Alberta Maria Luiks in New York City May 8, 1940; returned.)

Q. Are you living with your wife at present?

A. Yes, at 370 Columbus Avenue, New York City, Apartment 4-J.

Q. Is your wife engaged in any business or occupation?

A. She is a designer of rubber dolls and toys. She is negotiating here for the sale of her designs.

Q. What is your present occupation?

A. Through my attorney I have submitted to your Service an affidavit in which I gave details of my activities in the United States.

BY ATTORNEY:

I have mailed copies of the affidavit to your office, as well as to the Central Office in Washington, D. C.

INSPECTOR TO ALIEN:

Q. I have on file an affidavit bearing your name and executed in New York City November 4, 1940. I exhibit same to you and ask you to state whether or not this is the affidavit you mentioned above?

A. Yes.



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- Q In your affidavit you mention that you are submitting therewith certified documents in support of the statements contained in your affidavit. I have on file photostatic copies of five documents, which I now exhibit to you and ask you to state whether these are the documents referred to in your affidavit?

A Yes.

BY ATTORNEY:

The originals of these documents were submitted to the Central Office, together with the original affidavit.

Inspector's note: The five documents referred to above, which are on file are (1) affidavit bearing signature of Ray Forest, dated November 1, 1940; (2) affidavit of one John E. Lind, dated November 1, 1940; (3) Affidavit of one Robert L. Perry, dated October 31, 1940; (4) Affidavit of one Pierrepont M. Hamilton, dated October 31, 1940; (5) Affidavit of James F. Canagnaro, dated November 1, 1940. These documents are all self-explanatory.)

INSPECTOR TO ALIEN:

- Q Have you been engaged in the United States in any other occupation besides as indicated in your affidavit?

A No

- Q In your affidavit you state that a Miss Klinkum and a Mr. Keyzer are the inventors of certain apparatus in cinematography, on which they hold patents, and that you represent them in their commercial transactions and attend to all business matters in their behalf in connection with these patents. Will you state why it is necessary for you to act in their behalf in this matter?

A I am associated with these people for four years. I am interested as well financially as technically in the whole development of these patents and of the machines which were built. The negotiations require not only commercial knowledge but expert technical knowledge.

- Q Would not the inventors themselves have sufficient technical knowledge to carry on the negotiations relating to their patents?

A They have technical knowledge but they are not business people. They spend their time working in the laboratory which we have here at Hamilton Drive, Chappaqua, N. Y.

- Q In your affidavit you state that for the purpose of handling the patents above mentioned a firm known as Sterial Inc. was formed and established at 1270 - 8th Avenue, New York City. Is this the only firm you are directly connected with at present?

A No, we formed about six days ago a second firm known as Kayzer Laboratories, Inc.; the laboratories are in Chappaqua and the office is with the office of Sterial, Inc.

Q What position do you hold in the Kayser Laboratories, Inc.?

A General manager of the company.

Q Have you invested any money in this company?

A I have no capital investment directly but certain patents have been used in the capitalization of the company and I own 10% of those patents. We have valued these patents at about \$50,000 so that my share of the capitalization would be approximately \$5000. The same situation applies to Sterial Inc., the capitalization of which is about the same, and my share would be also about \$5000.

Q Do you hold any office in Sterial Inc.?

A No.

Q Do you receive any direct salary from that corporation?

A No.

Q What assets have you in the United States besides the patents previously mentioned?

A I have cash in Chase National Bank, Rockefeller Center Branch. The latest balance on Saturday, November 7, 1940, was \$923.99. I have a 10% ownership in the apparatus in Chappaqua. The entire apparatus is worth at least \$20,000. I have furniture and personal belongings at my residence.

Q Do I understand correctly that you are at the present time negotiating for the sale of the patents which are held by the Sterial and Kayser companies mentioned?

A Yes, with the Dufay-Color Co. and Universal Products Co., whose officers submitted two letters previously mentioned, which you have on file.

Q What is the present state of these negotiations?

A It is difficult to say because during these negotiations several technical questions are always brought forward by people who want to purchase the patents and that requires always some adjustments in the apparatus that exists or some laboratory research work in a certain direction which is of special interest to purchasers.

Q In the event that adjustments on the original apparatus are made, would it not be necessary to take further patents on these adjustments?

A Quite correct.

Q Are you at the present time carrying on negotiations for the sale of certain patent rights which you or the two companies mentioned are holding and which will not require any further adjustments or patenting?

A Yes.

Q Are you carrying on negotiations for the sale of that patent?

A Yes, but not with the two companies above mentioned. We are carrying on negotiations with other companies but I do not want to obtain affidavits from those companies because if I had made that request of them it would have jeopardized my bargaining abilities with them because they would then feel that I was dependent on them to a certain extent.

- Q Are you willing to give the names of those firms?
- A For the same reason as mentioned above I ask permission to withhold the names of those companies as I would not want the Government to make inquiries of them for the same reason.
- Q Do I understand correctly that the negotiations which you are now carrying on with Universal Products Co. and the Dufay-Color Co. are not for patent rights to inventions which require no further adjustment or modification?
- A Quite correct, in other words, these negotiations are with regard to things which we have to continually improve and adjust and for which we will have to apply for patents through our attorneys.
- Q In that case, would it not appear that you really are not in a position to make a definite offer of the products to the two companies mentioned at the present time and not until the necessary adjustments have been made and the necessary further patent rights obtained?
- A No, the results, which we have already shown them are of such definite quality as to give absolute assurance that those minor changes and adjustments will be carried out with absolute success.
- Q What is the total amount involved in these negotiations?
- A As to Dufay Color, there is a cash payment of \$50,000 and royalties and Universal Products the amount is \$10,000 or \$15,000 and royalties. The negotiations with the other firms whose names I cannot disclose at the present time involve at least \$150,000 cash and royalties.
- Q Can you give an estimate of the additional time you will need before bringing to completion the negotiations you are carrying on at the present time?
- A My estimate is about six months from now.
- Q In other words, about May, 1940?
- A Yes.
- Q Does this estimate hold for all the negotiations, including those with the companies you failed to mention?
- A Yes, principally them.
- Q In your negotiations with the companies referred to above is there any stipulation that you will have to remain in the United States in order to assist in the operation of the apparatus after patent rights have been sold to the companies?
- A No.
- Q In other words, after the sale of the patents has been made your further presence in the United States will not be needed in connection therewith?
- A This is correct as far as the two companies whose names were mentioned are concerned. I cannot say the same thing for the other negotiations. The negotiations have not travelled so far that I can visualize what will happen.

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Q Is your wife in any way connected with these negotiations which we have referred to above?

A No.

Q The endeavor to sell her designs is a matter entirely independent of your own business transactions?

A Absolutely. I assist her but it is her own field.

Q Your wife is also in the United States on a temporary visit?

A Yes her application is pending also.

Q Have you any income whatsoever in the United States?

A Yes, my partner, Mr. Kayzer, pays me out \$400 every month. That represents an advance on my share of future profits in connection with the sale of the patents. Mr. Kayzer has more money in the United States than I have.

Q Why is Mr. Kayzer advancing you this money?

A Because my actual cash in the United States is limited and Mr. Kayzer knows that if I do not have sufficient money for my expenses I would be forced to liquidate part of my holdings in the patents, probably at a reduced price and since this would in turn involve a reduction of the value of his own patent holdings, it is to his interest to advance the money to me.

Q Is Mr. Kayzer a citizen of the United States?

A No, he is a Dutchman and is also here as a temporary visitor. He has an extension, I believe, until December 27, 1940.

Q The other inventor, Miss Klinkum, is she a citizen of the United States?

A No, she is a Dutch citizen and she is a temporary visitor in the United States. She has an extension until February 21, 1941.

Q Have you any idea as to how soon you will be in a position, without jeopardizing your interests, to disclose the names of the other companies with whom you are negotiating for the sale of patents rights, as you previously explained?

A I suppose in about three months.

Q Are your business negotiations which have been explained above the sole reason for your desire to remain longer in the United States?

A Yes

Q Am I to assume then that as soon as your business transactions as above explained are completed you will be prepared to leave the United States?

A Yes.

Q Are you in possession of a valid passport at the present time?

A Yes. (Exhibits "passport for aliens #18209, issued in name Alexander Goldberg at The Hague on Dec. 30, 1939; originally valid for one year and extended to April 5, 1941, by The Netherlands Minister at Washington, D. C. Bears notation that the holder thereof is known as Ray Forest. Returned.)

Q Your passport apparently does not confer upon you The Netherlands nationality. Are you free to travel on this passport to any of The Netherlands possessions with<sup>out</sup> requiring any special visa from The Netherlands authorities?

A I cannot answer that because I never asked. However, I believe it would be sufficient.

Q Can you secure a further extension of this passport, if necessary?

A Any extensions, absolutely. I asked when I got this extension and was told I could secure any extensions I desired.

Q Do you expect that your wife will accompany you when you leave the United States?

A Absolutely.

Q Have you been previously married?

A No.

Q Why are you using the name Ray Forest?

A It was confirmed on me eleven years ago by a gramophone record company with which I was band leader. I used it for professional reasons.

Q Have you at any time been legally authorized to use this name in lieu of your name Alexander Goldberg by any proper authority?

A Yes, I originally began using the name in Germany and at that time I applied to the police in Berlin. They gave me permission to use that name and inscribed it in my passport. When I got to Holland that name was already in my passport and I continued to use it in subsequent passports obtained by me.

Q Should the Government of the United States deem it inadvisable to permit you to remain longer here, what do you intend to do?

A In that case I would request a brief extension of time to allow me to make arrangements to leave. I would need at least 30 days. This of course would mean a great loss to me, as well as to my associates.

Q In the event your request for reconsideration of your original application is granted, what is the maximum time that you would still like to remain in the United States?

A Six months from now, until the end of May, 1940.

Q Will Mr. Kayser continue to advance you \$400 monthly as long as you remain in the United States?

A Yes.

Q Is he financially in a position to do so?

A Yes.

Q Has your wife at the present time any source of income?

A No.

Q Has she any funds of her own in the United States?

A She has her return ticket and a couple of hundred dollars.

Q Is she dependent on you for support?

A She is.

Q Have you any near relatives in the United States?

A I have an uncle, Boris Elteren, 201 or 205 W. 57th St., New York City. I have an aunt, Mrs. Elizabeth Pollak. She lives at 315 W. 57th St., New York City. She has her first papers and my uncle is an American citizen.

Q Would these two relatives be in a position to assist you financially, should it be necessary?

A They are not rich people but they would.

Q Would it not be possible for your two associates, Miss Klinkum and Mr. Kayzer, to carry on from the negotiations which you have instituted, should you be required to leave the United States?

A I do not think so because, first of all, they have large amounts of laboratory work to carry through, which requires practically all their time. Consequently, they have not the personal contacts that I have.

Q In the event permission is granted you to remain longer here, do you expect to accept any gainful employment here or to engage in any occupation other than in connection with the sale of your patents?

A No.

Q Is there any further statement you would like to make?

A Our present invention concerns a photographic process which people have been trying to get at for a number of years, showing depth without the use of spectacles.

WITNESS, being sworn, testified as follows:

INSPECTOR TO WITNESS:

Q What is your full correct name?

A Alberta Maria Forest. My maiden name was Luiks. My husband's correct name is Goldberg but I do not use it.

Q Are you the wife of Alexander Goldberg, also known as Ray Forest, whose testimony we have just heard and who is present here?

A Yes.

Q Are you the person who was married to him in New York City on May 8, 1940?

A Yes.

Q Have you been previously married?

A No.

Q Do you live with him at the present time at 370 Columbus Avenue, New York City?

A Yes.

Q I now show you an application to extend time of temporary stay

bearing your name, executed September 21, 1940, and ask you to state whether you are the person mentioned therein and whether the signature appearing thereon is yours?

A Yes.

Q Have you a valid passport?

A Yes. (Exhibits Netherlands Passport #286044, bearing name Alberta Maria Luiks, issued at Amsterdam, July 20, 1936, valid by extension to July 20, 1942. Returned.)

Q From the contents of this file it would appear that you last arrived in the United States April 8, 1940, when you were admitted for a temporary period of six months and that you are now asking that an extension of six months be granted you. Is this correct?

A Yes.

Q Why do you wish to remain longer here?

A Because I have not completed my negotiations with the Universal Products Co.

Q What kind of negotiations are you carrying on with them?

A I want to sell them designs for rubber dolls.

Q What was your profession in Holland?

A I studied art and I was a designer of sculptures.

Q Were you gainfully employed before you came to the United States?

A I designed dolls for my husband's company in Amsterdam.

Q Were you employed as a designer on a salary basis by your husband's company?

A No, I was not an employee, I simply sold sketches to the company.

Q How long have you known your husband?

A About a year and a half.

Q When was it decided between you that you were to get married?

A When I was here in New York 3½ weeks.

Q Were you engaged to be married to him while you were in Holland?

A No.

Q For what purpose did you come to the United States in April, 1940?

A I came for the purpose of selling my designs.

Q Are those designs patented by you?

A No.

Q How long have you been negotiating with the Universal Products Co. for the sale of these designs?

A About eight months.

Q Have you not been able to come to any agreement yet?

A Not yet. I had to make certain changes in designs to conform with the machinery of the company.

Q Is that the only reason you would like to remain longer in the United States?

A No, I am now married and I would like to stay here as long as my husband does.

Q Should your husband be required to leave the United States within the next few weeks, would you accompany him, regardless whether or not you had sold your sketches?

A Yes.

Q What is the amount involved in your negotiations?

A The company offered me \$3000, plus certain royalties.

Q Have you any relatives in the United States?

A No.

Q What relatives have you abroad?

A I have my parents and a brother in Holland.

Q Of what race are you?

A Dutch.

Q Is there any bar to your returning to Holland at the present time?

A Yes, because my husband is Jewish and we might have to go to a concentration camp if we return to Holland because it is now occupied by Germany.

Q How soon do you expect your negotiations for the sale of your designs to be completed?

A In four or five months, I think.

Q Have you engaged in any gainful occupation here besides your designing of dolls?

A No.

Q Is it your intention to engage in such occupation, if permitted to remain longer?

A No.

Q Have you any further statement you would like to make?

A No.

(Interview closed)



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The following affidavits, referred to by Inspector J. Auerbach in the interview with subject and wife December 9, 1940, are in the file examined by the reporting agent:

An affidavit signed by JAMES F. CAVACHARO, Vice President of the Bank of America, 44 Wall Street, New York City, certified that RAY FOREST of Amsterdam, Holland, had advised in connection with valuable patents to which he has exclusive rights, that he is in the process of negotiating with one of his friends in connection therewith. This affidavit was dated November 1, 1940.

An affidavit made by PIERPONT H. HAMILTON of MURAY COLOR COMPANY, INC., 30 Rockefeller Plaza, written from the office of the President stated that RAY FOREST is negotiating with that company in respect to certain patent rights to which he had exclusive representation, which negotiations could not be concluded for five or six months. This affidavit was dated October 31, 1940.

Affidavit signed by ROBERT L. PERRY, Vice President of the Universal Products Company, Empire State Building, 350 Fifth Avenue, dated October 31, 1940, stated that the company was negotiating with RAY FOREST for the purchase of rights in a stereoscopic device for transparent color pictures used for advertising purposes which device will be offered for distribution in various Latin American countries.

JOHN E. LIND, employed in the office of E. F. VERNERBORTH, attorney, 900 F Street, N. W., Washington, D. C., made an affidavit to the effect that he has charge of inventions filed by that office with the U. S. Patent Office, and that ALBERTUS J. KRYSEN and ABRA J. M. KLIEKUM have made application for patents relating to involved technical matters. He informed he believed RAY FOREST was essential to a negotiation by reason of his technical knowledge.

An affidavit made by RAY FOREST, November 1, 1940, stated that he and his associates were in possession of the following patent rights:

79.316	HOLLAND
48.135	Holland
84.402	Holland
92.608	Holland
785.341	Holland
423.685	Belgium
507.994	Great Britain

In addition they have applied for patents in the United States, serial numbers of applications being as follows:

154.996	(1937)
345.622	(1940)
349.189	(1940)
349.190	(1940)

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The file reflected that on August 26, 1941, the Bureau of Immigration and Naturalization directed a letter to Mr. and Mrs. ALEXANDER GOLDBERG, c/o GASPAR H. CUSUMANO, attorney, 64 Whitehall Street, New York City, which letter stated that the Department of Justice, Washington, D. C., had instructed that office to determine whether the GOLDBERGS had yet departed from the United States for the purpose of adjusting their status; and if they had not departed to obtain their alien registration receipt number and dates to which their passports are valid. There is nothing in the file to indicate that this letter has been answered.

The Bureau of Immigration & Naturalization records further reflect ALBERTA TURKS, now Mrs. ALEXANDER GOLDBERG, arrived at the Port of New York, April 8, 1940, on the S. S. Exeter from Marseilles, France. She is a citizen of Holland, of the Dutch race, and was born Pandjong Pandan. Her last permanent residence was Amsterdam, Holland. Upon arrival she had in cash \$450. She listed Universal Products Company, 350 Fifth Avenue, New York City, as the address of friends or relatives within the United States. She was admitted as a temporary visitor for a stay of six months. Description obtained on Certificate of Admission is as follows:

Height	5'10"
Complexion	Fair
Hair	blond
Eyes	Brown
Identifying marks	none
Age	34

From the records of the Bureau of Immigration and Naturalization at Ellis Island, Correspondence Section, it was determined from ALBERTUS J. KEYSER'S application, to extend the time of temporary stay, filed on April 3, 1940, that his age at that time was thirty years; that he is a film technician, single, was born in Dusseldorf, Germany; and that he is a citizen of Holland. His residence in the United States at the time was given as the Latham Hotel, 4 East 28th Street, mailing address 207 East 84th Street, New York City. He was in possession of passport 466789 issued at Amsterdam, Holland, on May 27, 1936, which will expire May 27, 1942. He stated that he came as a non-immigrant, Class 2, arriving in the United States December 1, 1940, at New York City, on S. S. Rex. He was admitted on temporary visit of two and one half months and previous to this time had applied for no extension. He listed as friends in the United States ADOLFO FOISSEVAIN, friend, 1115 Fifth Avenue; W. VAN MARLE, friend, 572 Madison Avenue. He listed as other friends and business connections JAMES LUITWILSER (BENDIX LUITWILSER & CO.) 32 Wall Street; ROBERT ROCKMORE, lawyer; DANIEL KATZ, lawyer; both of the firm of HARRON, RICE and ROCKMORE, 18 East 40th Street, New York City; and Mr. ARMSTRONG, Chase National Bank, Times Square Branch; C. M. VAN SYLL DE JONG, 5025 Arbor Avenue, Oakland, California; E. F. WANDERWORTH, patent attorney, W. L. & T Building, Washington, D. C.

Mr. KEYSER stated he was associated in the STERIAL COMPANY, INC. 1270 6th Avenue, Room 2207, which company, he stated, was in charge of and dependent on the commercial exploitation of his patents with regard to a device for stereoscopic pictures, and patents and improvements of his assistant partner, Miss ANNA KLINKUM.

The file reflected that on September 13, 1941, KEYSER was notified at his address at Peekskill, New York, ZFD #3; that a decision on his extension of time had been held in abeyance for a period of sixty days; and he was directed to obtain forms 1-155 and 1-555 and file same.

In a letter addressed to the Director, the Bureau of Immigration and Naturalization, on September 14, 1941, he stated that he was busy at his company laboratories (KEYSER LABORATORIES, INC.) at Peekskill, New York, with construction on new and improved cinematographic equipment for the United States Navy.

Records of the Bureau of Immigration and Naturalization reflect that ANNA JOSEPHINA M. KLINKUM, age 35, occupation, technical assistant and inventor, was admitted with her daughter OLGA, age 14, at the Port of New York, on February 21, 1940, on the S. S. Vulcania. She was admitted for a temporary stay of six months and her stay has since been extended until January 15, 1942. The file reflected she is associated with ALBERTUS J. KEYSER, and she likewise is a citizen of Holland. She stated she was engaged in the development of a new device for stereoscopic cinematography. The record reflected that her maiden name was ANNA JOSEPHINA MARIE KLINKUM; and since her arrival in the United States, she has been divorced from LUNARD VAN DER VEEN and has exhibited a certificate to that effect.

The files also further reflected that subsequent to her divorce she was married on November 5, 1940, Washington, D. C., to CHRISTOPHER F. EVE, a United States citizen.

Records of the Bureau of Naturalization, Ellis Island, New York, reflect that PIETER ROLF VAN DUINEN arrived at New York City on April 11, 1940, on board the vessel S. S. Rex. He was born at Koorn, Netherlands, November 18, 1890, last permanent residence was Amsterdam, Holland. He sailed from Genoa, Italy. His description taken from the Manifest is as follows:

Age	50
Height	5'10"
Weight	140
Eyes	blue
Complexion	fair
Hair	blond-gray
Nationality	Dutch
Wife	CONSTANCE JOHANNA
Present Address	21 Fifth Avenue, New York City

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Mail covers are being placed on the mail of ALEXANDER GELBERG  
alias RAY FOREST, address 370 Columbus Avenue and also c/o KEYSER LABORATORIES  
Route 3, PHEENMILL, New York.

- PENDING -

100-15146

UNDEVELOPED LEADS

WASHINGTON FIELD DIVISION:

Will, at Washington, D. C., contact the appropriate department of the Navy to ascertain if Keyser Laboratories, Inc., Chappaqua, New York, or Sterial Company, Inc., are engaged in the construction of any cinematographic equipment for the Navy.

Will consider interviewing JOHN E. LIND, employed in the office of E. F. WINDENWORTH, attorneys, 900 F Street, N. W., after ascertaining the reliability of this person as to his knowledge of activities of the subject. It is to be noted that Mr. LIND made an affidavit as to patents filed in the U. S. Patent Office by ALBERTUS J. KEYSER and ANNA J. M. KLINGHOF.

NEW YORK FIELD DIVISION:

Will, at New York, N. Y., obtain and follow up results on mail cover placed on the mail of ALEXANDER GOLDBERG known as RAY FOREST.

Will again check the records of the Bureau of Immigration at Ellis Island to determine if ALEXANDER GOLDBERG alias RAY FOREST; ALBERTUS J. KEYSER; and ANNA JOSEPHINE M. KLINGHOF VAN DER VEEN have departed this country and, if it be determined that they are still in this country, after reviewing this report, conduct appropriate investigation.

- PENDING -

100-15146

CONFIDENTIAL INFORMANT

Confidential Informant T-1 is

FOIA(b) (7) - (D)

# FEDERAL BUREAU OF INVESTIGATION

Form No. 1

THIS CASE ORIGINATED AT

WASHINGTON, D. C.

FILE NO. 100-10106-070

REPORT MADE AT <b>NEW YORK, N. Y.</b>	DATE WHEN MADE <b>11/13/42</b>	PERIOD FOR WHICH MADE <b>10/10, 23, 24; 11/10/42</b>	REPORT MADE BY <b>H. E. TILLOT</b>
TITLE <b>RUSSIAN ESPIONAGE ACTIVITIES</b>			CHARACTER OF CASE <b>ESPIONAGE (R)</b>

**SYNOPSIS OF FACTS:**

~~CONFIDENTIAL~~

ALEXANDER GULIKOFF, alias RAY STANLEY, born St. Petersburg, Russia, 1st permanent residence Amsterdam, Holland, arrived New York on T.I. Excalibur 2/3/40; admitted as temporary visitor; application for extension of stay denied and ordered to depart country by 11/3/40; filed application for reconsideration of decision. Subject presently residing with wife at 270 Columbus Avenue, NYC. Subject associated with ALBERT J. BETHUN, ANNA JOSEPHINE B. BETHUN and PIERRE RAY VAN BETHUN, all aliens from Netherlands, engaged in development and exploitation of patented cinematographic apparatus. JOHN, SMITH & S. S. KOSHERMAN, alias from Netherlands, organized Home & Abroad Trading Company. Mail cover placed on subject.

- P -

100-7826-35-2X

APPROVED AND FORWARDED:	SPECIAL AGENT IN CHARGE	DO NOT WRITE IN THESE SPACES		
COPIES OF THIS REPORT  3 - Bureau 2 - Washington Field 2 - New York		61	3726	80
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